

10/532444

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Date of Deposit: April 22, 2005**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Hisashi Onuma et al.

International

Serial No. PCT/JP2003/013341

U.S. Serial No.: Applied for

Intl. Filing Date: October 17, 2003

U.S. Filing Date: Herewith

Title: AMORPHOUS POLYESTER
RESIN COMPOSITION

Attorney Docket No: 5404/103

Examiner: Not Assigned

Art Unit: Not Assigned

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
JP 2002-155194 A	05/28/2002	Japan

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

International Search Report from Corresponding International Application
No. PCT/JP03/13341, dated November 18, 2003.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

BRINKS
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& LIONE

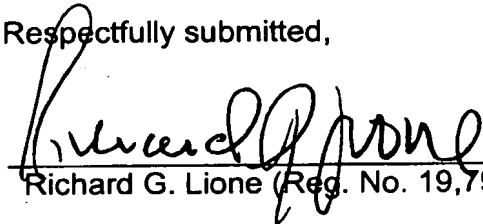
Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

April 22, 2005
Date


Richard G. Lione (Reg. No. 19,795)

10/532444

FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2003/013341	CASE NO. 5404/103
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	INTERNATIONAL FILING DATE October 17, 2003	GROUP ART UNIT Not Assigned
APPLICANT(S): Hisashi Onuma et al.		

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/J.M./	A1	JP 2002-155194 A	05/28/2002	Japan		Abstract

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
/J.M./	A2	International Search Report from Corresponding International Application No. PCT/JP03/13341, dated November 18, 2003.

EXAMINER /Jeffrey Mullis/	DATE CONSIDERED 11/05/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1A24 B2C 4 PCT/PTO 09 JAN 2006

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
 Date: Jan 9, 2006 Name: Richard G. Lione Reg. No. 19,795 Signature: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hisashi Onuma et al.

Appln. No.: 10/532,444

BRINKS
HOFER
GILSON
& LIONE

Examiner: Not Assigned

Art Unit: Not Assigned

Filed: April 22, 2005

For: AMORPHOUS POLYESTER RESIN COMPOSITION

Attorney Docket No: 5404/103

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (in Duplicate); Supplemental Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

[Signature]
Richard G. Lione (Reg. No. 19,795)

Date

03 JAN 10 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. of: Hisashi Onuma et al.
Appln. No.: 10/532,444
Filed: April 22, 2005
For: AMORPHOUS POLYESTER
RESIN COMPOSITION
Attorney Docket No: 5404/103

Examiner: Not Assigned
Art Unit: Not Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
4,173,600	11/06/1979	Kishida et al.
4,264,678	04/28/1981	Nelsen et al.
4,431,772	02/14/1984	Katto et al.
4,508,876	04/02/1985	Takaki et al.

FOREIGN PATENT DOCUMENTS

Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
EP 0 545 377 A1	06/09/1993	Takeda Chemical Industries, Ltd.
EP 0 985 692 A2	03/15/2000	Rohm and Haas Company
EP 1 095 982 A1	05/02/2001	Rohm and Haas Company
EP 1 125 983 A1	08/22/2001	Kaneka Corporation

NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

Supplementary European Search Report from Application No. EP 03 75 6693, dated November 29, 2005, 4 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

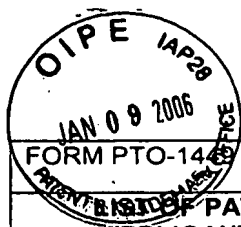
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Jan. 9, 2006
Date

Richard G. Lione
Richard G. Lione (Reg. No. 19,795)



STATEMENT OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE (use several sheets if necessary)	APPLICATION NO.	ATTORNEY DOCKET NO.
	10/532,444	5404/103
	FILING DATE	GROUP ART UNIT
	April 22, 2005	Not Assigned
FIRST NAMED INVENTOR: Hisashi Onuma et al.		
EXAMINER NAME: Not Assigned		

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
/J.M./	B1	4,173,600	11/06/1979	Kishida et al.		
/J.M./	B2	4,264,678	04/28/1981	Nelsen et al.		
/J.M./	B3	4,431,772	02/14/1984	Katto et al.		
/J.M./	B4	4,508,876	04/02/1985	Takaki et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	T
/J.M./	B5	EP 0 545 377 A1	06/09/1993	Takeda Chemical Industries, Ltd.		
/J.M./	B6	EP 0 985 692 A2	03/15/2000	Rohm and Haas Company		
/J.M./	B7	EP 1 095 982 A1	05/02/2001	Rohm and Haas Company		
/J.M./	B8	EP 1 125 983 A1	08/22/2001	Kaneka Corporation		

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
/J.M./	B9	Supplementary European Search Report from Application No. EP 03 75 6693, dated November 29, 2005, 4 pages.				

NOTE: For "T" - please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER /Jeffrey Mullis/	DATE CONSIDERED 11/05/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.